

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Michels et al.)	Examiner:
)	To Be Assigned
Serial No. : Continuation of 09/754,786)	
Cnfrm. No. : To Be Assigned)	Art Unit:
)	To Be Assigned
Filed : Herewith)	
For : PROCESS FOR THE PRODUCTION OF)	
PIPERIDINE DERIVATIVES WITH)	
MICROORGANISMS)	
)	

**INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR §§ 1.97-1.98**

Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 CFR §§ 1.97-1.98, applicants hereby bring to the attention of the United States Patent and Trademark Office, the references listed on the attached PTO-1449 form.

Pursuant to 37 CFR § 1.98(d), the references identified on the attached PTO-1449 form are not provided, because these references either were previously cited by or submitted to the PTO in parent U.S. Patent Application Serial No. 09/754,786, filed January 4, 2001, of which this application claims priority under 35 USC § 120.

Respectfully submitted,

Date: August 11, 2003



Michael L. Goldman
Registration No. 30,727

NIXON PEABODY LLP
Clinton Square, P.O. Box 31051
Rochester, New York 14603
Telephone: (585) 263-1304
Facsimile: (585) 263-1600

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use several sheets if necessary) (PTO-1449)	ATTY. DOCKET NO.	SERIAL NO.
	20011/1474	Continuation of 09/754,786
	APPLICANT	
	Michels et al.	
FILING DATE	GROUP ART UNIT	
Herewith	To Be Assigned	

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	1	3,419,469	12/31/1968	Humphrey et al.			
	2	3,649,453	03/14/1972	Herr et al.			
	3	3,966,553	06/29/1976	Charpentier et al.			
	4	4,564,594	01/14/1986	Goldberg et al.			
	5	5,032,513	07/16/1991	Page et al.			
	6	5,135,859	08/04/1992	Witholt et al.			
	7	5,204,249	04/20/1993	Schwartz et al.			
	8	5,990,127	11/23/1999	Meiwes et al.			

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION IF APPROPRIATE
	9	WO 99/47693 (with English translation)	09/23/99	WIPO			

OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER			DATE CONSIDERED			

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY. DOCKET NO. 20011/1474	SERIAL NO. Continuation of 09/754,786
INFORMATION DISCLOSURE STATEMENT BY APPLICANT		APPLICANT Michels et al.	
(use several sheets if necessary) (PTO-1449)		FILING DATE Herewith	GROUP ART UNIT To Be Assigned

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	1	3,419,469	12/31/1968	Humphrey et al.			
	2	3,649,453	03/14/1972	Herr et al.			
	3	3,966,553	06/29/1976	Charpentier et al.			
	4	4,564,594	01/14/1986	Goldberg et al.			
	5	5,032,513	07/16/1991	Page et al.			
	6	5,135,859	08/04/1992	Witholt et al.			
	7	5,204,249	04/20/1993	Schwartz et al.			
	8	5,990,127	11/23/1999	Meiwes et al.			

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION IF APPROPRIATE
	9	WO 99/47693 (with English translation)	09/23/99	WIPO			

OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER			DATE CONSIDERED		

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.